

# ELECTRON GENERATION



- generated by thermal population, if get close to junction is swept in ~~out~~ by potential ( $\Delta\phi$  by  $V$ ) all e- that cross get caught
- $J_e^{\text{gen}}$

# ELECTRON RECOMBINATION



free electron, can cross barrier?  
with prob  $\propto e^{-\beta(\Delta\phi_0 - eV)}$

$$\Rightarrow J_e^{\text{rec}} \propto e^{-\beta(\Delta\phi_0 - eV)}$$

$$\Rightarrow J_e^{\text{rec}}(V=0) = J_{\text{e}}^{\text{gen}} \quad \text{steady state}$$

$\Rightarrow$  to current

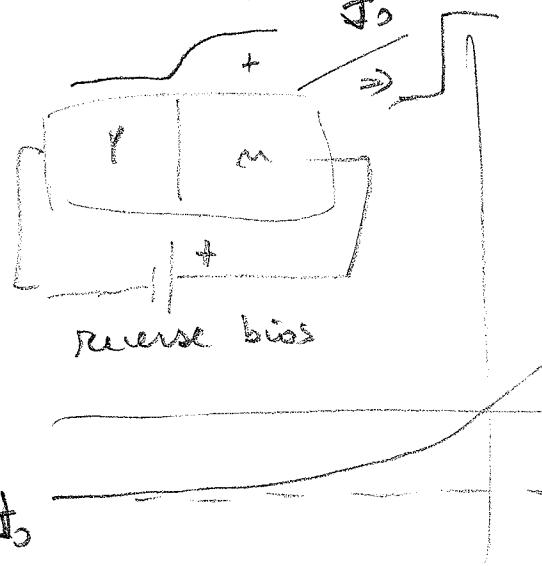
$$J_i = (J_e^{\text{rec}} - J_e^{\text{gen}}) / (J_e^{\text{gen}}) \left( eV/kT \right)$$

(remember electrons have charge ( $e$ ), but they ~~will~~ go in the place where voltage is  $-V!!$ )

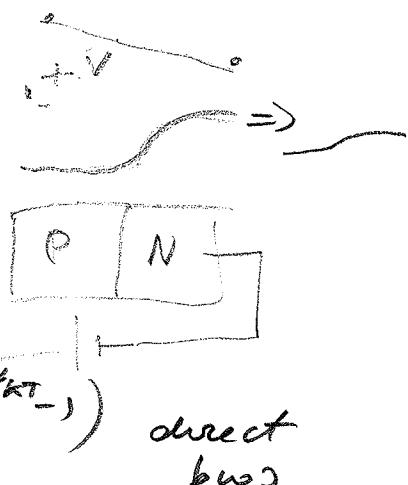
$\Rightarrow$  tot J is

$$J = -eJ_e + eJ_h = e(J_h - J_e)$$

$$= e \underbrace{(J_h^{sa} + J_e^{sa})}_{J_0} (e^{\frac{eV}{kT}} - 1)$$



$$J(V) = J_0(e^{\frac{eV}{kT}} - 1) \quad \text{direct bias}$$



$$e(J_h^{sa} + J_e^{rec})$$

remember  $e\Delta\phi_0$  works

$$e\Delta\phi = E_{gap} + kT \log \left( \frac{N_d N_a}{N_c(T) P_v(T)} \right)$$

the recombination current. The number of such holes is proportional to  $e^{-e\Delta\phi/k_B T}$  and therefore<sup>11</sup>

$$J_h^{\text{rec}} \propto e^{-e[(\Delta\phi)_0 - V]/k_B T}. \quad (29.22)$$

In contrast to the generation current, the recombination current is highly sensitive to the applied voltage  $V$ . We can compare their magnitudes by noting that when  $V = 0$  there can be no net hole current across the junction:

$$J_h^{\text{rec}}|_{V=0} = J_h^{\text{gen}}. \quad (29.23)$$

Taken together with Eq. (29.22), this requires that

$$J_h^{\text{rec}} = J_h^{\text{gen}} e^{eV/k_B T}. \quad (29.24)$$

The total current of holes flowing from the  $p$ - to the  $n$ -side of the junction is given by the recombination current minus the generation current:

$$J_h = J_h^{\text{rec}} - J_h^{\text{gen}} = J_h^{\text{gen}}(e^{eV/k_B T} - 1). \quad (29.25)$$

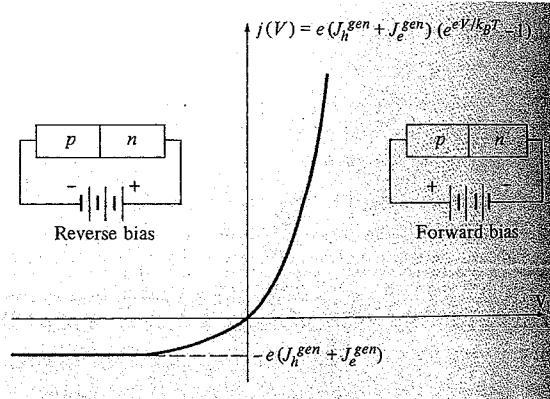
The same analysis applies to the components of the electron current, except that the generation and recombination currents of electrons flow oppositely to the corresponding currents of holes. Since, however, the electrons are oppositely charged, the electrical generation and recombination currents of electrons are parallel to the electrical generation and recombination currents of holes. The total electrical current density is thus:

$$j = e(J_h^{\text{gen}} + J_e^{\text{gen}})(e^{eV/k_B T} - 1). \quad (29.26)$$

This has the highly asymmetric form characteristic of rectifiers, as shown in Figure 29.5.

**Figure 29.5**

Current vs. applied voltage  $V$  for a  $p$ - $n$  junction. The relation is valid for  $eV$  small compared with the energy gap,  $E_g$ . The saturation current ( $eJ_h^{\text{gen}} + eJ_e^{\text{gen}}$ ) varies with temperature as  $e^{-E_g/k_B T}$ , as established below.



<sup>11</sup> In assuming that (29.22) gives the dominant dependence of the hole recombination current on  $V$ , we are assuming that the density of holes just on the  $p$ -side of the depletion layer differs only slightly from  $N_a$ . We shall find that this is also the case provided that  $eV$  is small compared with the energy gap  $E_g$ .

## GENERAL PHYSICS

The foregoing discussion appearing in (29.26) densities will not in equilibrium Maxwell analysis to constrain transition region to case.

In this more detailed analysis of hole currents instead, at each position  $x$  equations relating electron and hole densities field,  $E(x) = -dq/dx$ , principle, to find approach we follow the electron and hole equations we used  $n_e(x)$  and  $p_v(x)$  to be viewed as the solution of relation (29.3), which

We first observe gradient, the carriers to the field (the carrier diffusion current).

The positive charge known as the electron current than writing the equation in which the drift velocity and density are proportional to  $ne^2\tau/m$  for the case of

<sup>12</sup> The signs in the equations along the field, and

NON EQUILIBRIUM CASE  $\Rightarrow$  goes to equilibrium  
in thermodynamical equilibrium  
we saw Electric field  $\phi \leftrightarrow V \rightarrow \underline{\text{current}}$

but what about current due by gradient  
of concentrations?

$$\sigma = \mu n e \xrightarrow{\text{density}} \text{mobility} \Rightarrow J = \mu n e \xrightarrow{\delta \phi} \xrightarrow{\text{charge}} E \xrightarrow{\text{field}}$$

but EM field is  $E = -\nabla \phi \xrightarrow{\text{potential}}$   
and then I can about  
current of particles.

$$\Rightarrow J_e = \frac{J_e}{c} = \frac{J_e}{\mu n e} \nabla \phi \quad \begin{aligned} J_e &= \mu_e n e \nabla \phi \\ J_p &= -\mu_{pp} p \nabla \phi \end{aligned}$$

at equilibrium  
 $n, p$  const  
holes, electrons  
mobilities

but if  $n, p$  was constant it?  $\Rightarrow$  diffusion.

$\Rightarrow$  drift current proportional to  $\nabla$  concentration

(Thermo,  $J_{\text{diff}}$  (Kern pot) but small convection  $\Rightarrow n \propto \mu$ )

$$\Rightarrow \text{drift } e \sim -D_n \nabla n(x)$$

$$D_n, D_p$$

$$\text{drift } n \sim -D_{pp} p \nabla p(x)$$

electrons &  
holes diffusive  
const.

wave  
adv./collis.  
times

remember  $J = \sigma E = -e J_e = -e \mu_n n E \Rightarrow$

$$J_e = \mu_e n \nabla \phi - D_n \nabla n(x)$$

$$J_p = -\mu_{pp} p \nabla \phi - D_p \nabla p(x)$$

$$\sigma = \frac{n e^2 Z}{m} = \mu n e \Rightarrow \mu_e = \frac{e Z_m}{m}$$

$$\mu_p = \frac{e Z_p}{m_p}$$

numbers, will build (the self-consistent one)

$$n_c(x) = N_c(T) e^{-\beta(E_c - \mu - e\phi(x))}$$

$$P_v(x) = P_v(T) e^{\beta(\mu - E_v + e\phi(x))}$$

$$\Rightarrow \nabla n = n (\beta e \nabla \phi)$$

$$\nabla \phi = p (-\beta e \nabla \phi)$$

$\Rightarrow$  in equilibrium

$$0 = J_e = \mu_e n \nabla \phi - D_m n \beta e \nabla \phi = 0$$

$$\begin{aligned} \mu_e &= \frac{D_m e}{kT} \\ \mu_p &= + \frac{D_p e}{kT} \end{aligned}$$

EINSTEIN  
RELATIONS

$$J_e = J_p \Rightarrow$$

always find something similar  
when you have 2 phenomena:  
- transport due by field ( $\Rightarrow \nu_{ph}$ )  
- drift, diffusion  $\Rightarrow \dots$

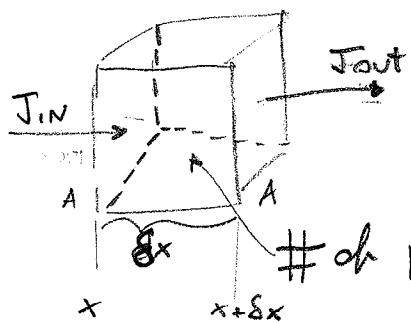
EXPRESS  $\frac{J_m(x)}{\partial t}$  in factor of  $\nabla_x J$

for  $V=0 \Rightarrow J_e = J_h = 0 \Rightarrow$  thermodynamic equilibrium, steady state,

but when  $V \neq 0 \Rightarrow \phi(+\infty) - \phi(-\infty)$

LEADS

if  $J_m = J_{out} \Rightarrow \# \text{ particles inside} = \text{constant}$



$$N = n \text{Value} \Rightarrow n A \Delta x$$

but if  $J_m \neq J_{out}$ ?

$$\text{Steady state} \Rightarrow J_{out}(x) = J_{in}(x) + \frac{\partial J}{\partial x} S_x \Rightarrow$$

Flux in per unit time  $\Rightarrow$

$$J_{in}(x) A$$

Flux out per unit time

$$J_{out}(x) A = J_{in}(x) A + A \frac{\partial J}{\partial x} Sx$$

$\Rightarrow n$  particles

CONSERVATION OF CARRIERS, THEY DO NOT DIE, JUST EXIT

$$(Flux in - Flux out) \text{ (per unit time)} = \frac{\partial N}{\partial t} = (\bar{A}Sx) \frac{\partial n(x)}{\partial t}$$

$$\Rightarrow J_{in} A - J_{in} A + A \frac{\partial J}{\partial x} Sx = \bar{A} Sx \frac{\partial n(x)}{\partial t}$$

$$\boxed{\begin{aligned} \frac{\partial n(x)}{\partial t} &= - \frac{\partial J_e(x)}{\partial x} \\ \frac{\partial P_v(x)}{\partial t} &= - \frac{\partial J_h(x)}{\partial x} \end{aligned}}$$

$\hookrightarrow$  particles  
number ch.

CONTINUITY EQUATIONS

$\text{if } V \neq 0 + \text{fluctuations given by } T$

But carriers are not conserved due to thermal extraction

$$\frac{\partial n_c(x)}{\partial t} = - \frac{\partial J_e}{\partial x} + \left[ \frac{dn_c(x)}{dt} \right]_{g \rightarrow 2} \quad \checkmark$$

$$\frac{\partial P_v(x)}{\partial t} = - \frac{\partial J_h}{\partial x} + \left[ \frac{dp_v(x)}{dt} \right]_{g \rightarrow 2}$$

restore equilibrium  
when carrier densities go out

$\text{if } n_c > n_c^{eq} \Rightarrow \text{rec} > \text{gen} \Rightarrow \overset{n \rightarrow n_c}{\text{and viceversa,}} \text{ and for } P.$

In regions where  $n_c$  &  $p_v$  exceed their equilibrium values, recombination occurs faster than generation, leading to a decrease in carrier densities, while in regions where they fall short of their equilibrium values, generation occurs faster than recombination, leading to an increase in the carrier density.

NICE

$\Rightarrow$  how to model? with a DRIVE  
rebot time

$$\Rightarrow \left( \frac{dn_c(x)}{dt} \right)_{\text{gen rec}} = - \frac{(n_c - n_c^0)}{Z_m} \quad \left( \begin{array}{l} \text{- because} \\ \text{if } n > n^0 \Rightarrow \frac{dn}{dt} < 0 \end{array} \right)$$

$$\left( \frac{dP_v(x)}{dt} \right)_{\text{gen rec}} = - \frac{(P_v - P_v^0)}{Z_p} \quad \begin{array}{l} \text{lifetime} \\ \gg \text{allowable} \\ \text{time} \end{array}$$

$n_c^0, P_v^0(x)$  are the ones given by the  $\phi(x)$  !!

$$\Rightarrow dn_c = -n_c \frac{dt}{Z_m} - n_c^0 \frac{dt}{Z_m}$$

through total generation

$$n_c(t+dt) = n_c(t) \left( 1 - \frac{dt}{Z_m} \right) - n_c^0 \frac{dt}{Z_m}$$

$$Z_m, Z_p \gg Z_m^{\text{coll}}, Z_p^{\text{coll}}$$

lifetime of recombination/  
generation

in interband  
transition

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given by the Temperature

$Z_m, Z_p \sim 10^{-3}, 10^{-8} \text{ sec}$

$Z_m^{\text{coll}}, Z_p^{\text{coll}} \sim 10^{-12}, 10^{-13} \text{ sec}$

$\rightarrow$  creation of a fraction  $\frac{dt}{Z_m}$  of the wanted electrons

$\rightarrow$  creation of a fraction  $\frac{dt}{Z_m}$  of the equilibrium electrons

$\Rightarrow$  eqns are out of eq,  $V \neq 0$   
with fluctuations due by  $T$

$$\frac{\partial n_c(x,t)}{\partial t} + \frac{\partial J_e(x,t)}{\partial x} + \frac{n_c(x,t) - n_c^0}{\tau_n} = 0$$

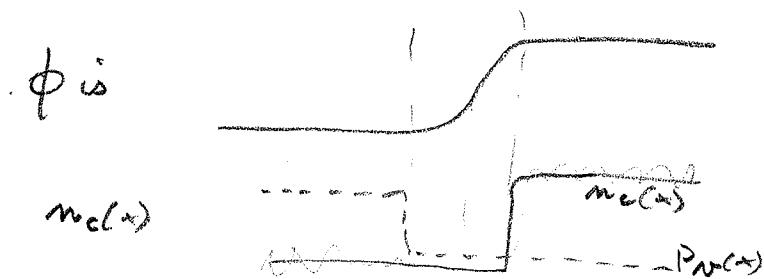
$$\frac{\partial P_r(x,t)}{\partial t} + \frac{\partial J_h(x,t)}{\partial x} + \frac{P_r(x,t) - P_r^0}{\tau_p} = 0$$

$\rightarrow$  STEADY STATE (still  $V, \& T$ , but "constant rates")

$$\frac{\partial J_e}{\partial x} + \frac{n_c(x) - n_c^0(x)}{\tau_n} = 0 \quad \begin{matrix} \leftarrow \text{equilibrium profile} \\ \leftarrow \text{eq replaced} \end{matrix} \quad \left. \begin{matrix} \text{base } \phi \text{ slope} \\ \text{with fluctuations due by } T \end{matrix} \right\}$$

$$\frac{\partial J_h}{\partial x} + \frac{P_r(x) - P_r^0(x)}{\tau_p} = 0 \quad \begin{matrix} \leftarrow \text{equilibrium profile} \\ \leftarrow \text{eq replaced} \end{matrix} \quad \begin{matrix} \overline{J_e} = \overline{J_h} = 0 \\ \text{when } V \neq 0 \end{matrix}$$

remember  $J_e = \mu_c n_c \nabla \phi - D_m \nabla n_c^0(x)$        $\overbrace{\hspace{10em}}$  diffusion  
 $J_h = -\mu_p P_r \nabla \phi - D_p \nabla P_r^0(x)$



outside depletion region  $\phi \approx \text{const}$

$$\Rightarrow J_e \approx -D_m \nabla n_c(x)$$

$$J_h \approx -D_p \nabla P_r(x)$$

$$\Rightarrow \frac{\partial J_c}{\partial x} \approx -D_m \frac{\partial^2}{\partial x^2} n_c(x) \quad \text{same for } P_v$$

$$\Rightarrow D_m \frac{\partial^2 n_c(x)}{\partial x^2} = \frac{n_c(x) - n_c^\circ(x)}{z_m}$$

$$D_p \frac{\partial^2 P_v(x)}{\partial x^2} = \frac{P_v(x) - P_v^\circ(x)}{z_p}$$

Solutions vary exponentially in  $x$

with  $L = \sqrt{D_m z_m}$  } diffusion length.

$$L_p = \sqrt{D_p z_p} \Rightarrow \text{space it takes to equilibrate}$$

to  $n_c^\circ, P_v^\circ$  values

example

$$\begin{aligned} & n_c^\circ(-\infty) \quad \xrightarrow{\quad \text{N}_c^\circ(+\infty) \quad} N_D + \text{initial population} \\ & \xrightarrow{\quad L = N_c \quad} P_v = \frac{n_i^2}{N_c} \quad \text{law of mass action} \\ & P_v^\circ(+\infty) = \frac{n_i^2}{N_c} \end{aligned}$$

if  $I$  vary because some hole generated by  $T$  or  $\alpha$

$$P_v(x_0) \neq P_v^\circ(+\infty)$$



$$P_v(x) = P_v^\circ(+\infty) + [P_v(x_0) - P_v^\circ(+\infty)] e^{-\frac{|x-x_0|}{L_p}}$$

holes generated by  $T$  or light or injection, wander around until recombine  $\Rightarrow$  How?

remember Einstein relations

$$\left. \begin{aligned} \mu_e &= \frac{D_{ne} e}{kT} \\ \mu_p &= \frac{D_{np} e}{kT} \end{aligned} \right\}$$

$$\Rightarrow D_n = \frac{kT \mu_e}{e} \quad (\text{same for } D_p)$$

$$\text{remember } \sigma = \mu_e n_e e = \frac{n_e e^2 z_m^{\text{coll}}}{m_e^*} \Rightarrow \mu_e = \frac{e z_m^{\text{coll}}}{m_e^*}$$

$$\Rightarrow D_n = \frac{kT e z_m^{\text{coll}}}{m_e^* e}$$

$$\Rightarrow L_n = \sqrt{D_n z_n} = \sqrt{\frac{kT}{m_e^*} z_m^{\text{coll}} z_m^{\text{rec}}}$$

$$\frac{\text{THERMO}}{\text{eq (fluct)}} \quad \frac{1}{2} m_e^* N_{th}^2 = \frac{3}{2} kT \Rightarrow \quad \frac{kT}{m_e^*} = \frac{N_{th}^2}{3}$$

$$\Rightarrow L_n = \sqrt{\frac{N_{th}^2 z_m^{\text{coll}} z_m^{\text{rec}}}{3}} = \sqrt{\underbrace{(N_{th}^2 z_m^{\text{coll}}^2)}_{\ell_m^2} \frac{z_m^{\text{rec}}}{3 z_m^{\text{coll}}}}$$

$\ell_m^2$  (mean free path  
between collision)

$$\Rightarrow L_n = \ell_m^{\text{th}} \sqrt{\frac{z_m^{\text{rec}}}{3 z_m^{\text{coll}}}}$$

$$L_p \approx \ell_p^{\text{th}} \sqrt{\frac{z_p^{\text{rec}}}{3 z_p^{\text{coll}}}}$$

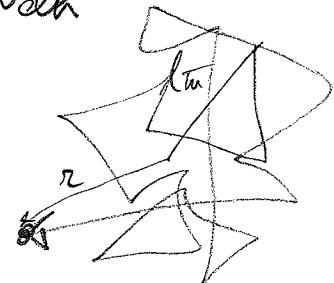
$$z_m \sim 10^{-3}, 10^{-8} \quad z_m^{\text{coll}} \sim 10^{-12} - 10^{-13} \quad \left. \begin{aligned} z_m^{\text{rec}} &\sim 10^5 - 10^{10} \\ \ell_m^2 &\sim 10^2 - 10^5 \end{aligned} \right\}$$

$$L_n \sim 10^2 - 10^5 \ell_m^{\text{th}} \quad \Rightarrow 100-100,000 \text{ collisions between neutrinos}$$

why  $\sqrt{?}$

$$\frac{Z_{\text{avg/ser}}}{3Z_p^{\text{all}}} = N \# \text{of steps before dying} \\ \text{every step jumps } l_{th}$$

single random walk



$$r = \sum_{i=1}^N \bar{l}_i \quad |\bar{l}_i| \approx l_{th}$$

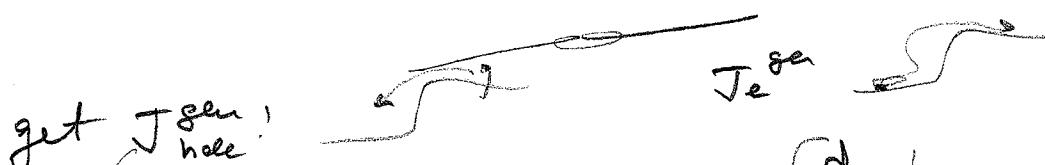
$$N^\epsilon = \langle r \rangle = l_{th} \sqrt{N} = l_{th} N^{1/2}$$

+ if free then  $\langle r \rangle \sim N^{1/2}$  (diffusion)  $= N^\epsilon$

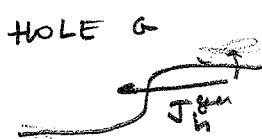
+ if attractive  $\epsilon < \frac{1}{2}$  (sub diffusion)

+ if repulsive  $\epsilon > \frac{1}{2}$  (super diffusion)

INTERACTION  
BETWEEN  
PARTICLES



holes generated hole number :  $\left[ \frac{\partial p}{\partial t} \right]_{g \rightarrow n} = - \frac{(p_v^{(+)}) - p_v^{(+)}}{Z_p}$



In  $p_v^{(+)} = 0 \Rightarrow$  holes are generated at  $\frac{p_v^{(+)}}{Z_p}$  rate (per unit volume)

are the holes (electrons)

that are generated

and get sucked in depletion  $\Rightarrow$

hole other side and sink are electrons

electrons are generated at  $\frac{m_e}{Z_m}$  rate (per unit volume)

at  $\frac{m_e}{Z_m}$  rate (per unit volume)

must be created in a "reasonable" distance from the junction!

$\Rightarrow$  only if  $|x| \leq L_p$  (or  $L_n$ ) are useful  
 $\Rightarrow \xi$

$$\rightarrow J_n^{gen} = L_p \left( \frac{p^0}{Z_p} \right) \quad \text{but } p^0 \text{ is the eq} \Rightarrow \\ p^0 n^0 = n_i^2 \\ \Rightarrow p \xrightarrow{N_D} N_D$$

$$\Rightarrow \begin{cases} J_n^{gen} = \left( \frac{n_i^2}{N_D} \right) \frac{L_p}{Z_p} & (\text{per unit surface area}) \\ J_e^{gen} = \left( \frac{n_i^2}{N_A} \right) \frac{L_n}{Z_n} \end{cases}$$

$$\text{Temp? } n_i \sim T^{3/2} e^{-E_{gap}/2kT}$$

$$Z_n, Z_p \sim \text{const}$$

$$J^{gen} \sim e^{-E_{gap}/kT}$$

$$L_n, L_p$$

